Attorney's Docket No.: 05770-145001 / AMSC-533 D-VAR

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Frederick Koeppe et al. Art Unit: 2836

Serial No.: 09/944,791 Examiner: Roberto Jose Rios Cuevas

Filed : August 31, 2001

Title : VOLTAGE RECOVERY DEVICE FOR USE WITH A UTILITY POWER

**NETWORK** 

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Applicants are uncertain of the date of publication of the following references that are listed on the attached PTO-1449 form:

- 1. "Static Var Compensator (SVC)", Retrieved from the internet: <a href="http://abb.co.../328B2ABF10F394208525683300504BBD">http://abb.co.../328B2ABF10F394208525683300504BBD</a>, 2 pages (Ref.: AA)
- 2. "SVC for steel", Retrieved on the internet: <a href="http://www.abb.com/global/ABBZ...0C125684D00543B02">http://www.abb.com/global/ABBZ...0C125684D00543B02</a>, 1 page (Re.: AB)
- 3. "Flicker", Retrieved on the internet: <a href="http://www.abb.com/GLOBAL/ABBZ...6C1256841004D1127">http://www.abb.com/GLOBAL/ABBZ...6C1256841004D1127</a>, 1 page (Ref.: AC)
- 4. "How can this flicker reduction be achieved?", Retrieved on the internet: <a href="http://www.abb.com/global/ABBZ...00440F24">http://www.abb.com/global/ABBZ...00440F24</a>, 2 pages (Ref.: AD)

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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5. "SVC Light adds power quality", Retrieved from the internet: <a href="http://www.abb.com/global/ABBZ...0044BFB2">http://www.abb.com/global/ABBZ...0044BFB2</a>, 1 page (Ref.: AE)

- 6. "New power electronics product from Mitsubishi", Retrieved from the internet: <a href="http://www.strategicnewpapers.com/hr/050100/ekect2.htm">http://www.strategicnewpapers.com/hr/050100/ekect2.htm</a>, 1 page (Ref. AF)
- 7. "SVC Light for Steel", Retrieved on the internet: <a href="http://www.abb.com/GLOBAL/ABBZ...00357895">http://www.abb.com/GLOBAL/ABBZ...00357895</a>, 1 page (Ref.: AG)
- 8. "How can this improve your EAF economy?", Retrieved from the internet: <a href="http://www.abb.com/global/ABBZ...0044A17D">http://www.abb.com/global/ABBZ...0044A17D</a>, 1 page (Ref.: AH)
- 9. R. Grunbaum, "SVC Light: A Powerful Means for Dynamic Voltage and Power Quality Control in Industry and Distribution", Retrieved from the internet: <a href="http://www.abb.com">http://www.abb.com</a>, 6 pages (Ref.: AI)
- 10. "Distribution Static Compensator", Retrieved from the internet: <a href="http://www.meppi.com/html/distribution\_statcom.htm">http://www.meppi.com/html/distribution\_statcom.htm</a>, 2 pages (Ref.: AJ)
- 11. Siemens "Distribution Static Compensator [DSTATCOM™ MV] from Siemens Power Transmission & Distribution pamphlet, 5 pages (Ref.: AL)
- 12. Siemens "Dynamic reactive power compensation at a steel manufacturing plant", Retrieved from the internet: <a href="http://www.powerquality.de/eng/page29a.html">http://www.powerquality.de/eng/page29a.html</a>, 4 pages (Ref.: Ref.: AM)
- 13. Siemens "Fast Reactive Power Compensation / Flicker reduction at a welding plant", Retrieved from the internet:
  <a href="http://www.powerquality.de/eng/page29b.html">http://www.powerquality.de/eng/page29b.html</a>, 5 pages (Ref.: AN)
- 14. Siemens "Harmonic Current compensation at a waste water pump station", Retrieved from the internet: <a href="http://www.powerquality.de/eng/page29c.html">http://www.powerquality.de/eng/page29c.html</a>, 3 pages (Ref.: AO)
- 15. "Static Synchronous Compensator: an additional tool for improved utilization of power systems", ABB STATCOM Power Systems, Pamphlet A02-0165E, 4 pages (Ref.: AP)

Therefore, while Applicants do not concede that these references qualify as prior art to this application, Applicants request that the Examiner treat them as prior art at this time for purposes of examination of this application.

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This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: March 24, 2005

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| Substitute Form PTO-1449 (Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attomey's Docket No. 05770-145001      | Application No. 09/944,791 |  |
|---|--|--|----------------------------|--|
| Information Disclosure Statement by Applicant (Use several sheets if necessary) |  | Applicant Paul Frederick Koeppe et al. |                            |  |
|   |  | Filing Date August 31, 2001            | Group Art Unit 2836        |  |

| Foreign Patent Documents or Published Foreign Patent Applications |        |          |             |               |       |          |       |        |
|---|--------|----------|-------------|---------------|-------|----------|-------|--------|
| Examiner  | Desig. | Document | Publication | Country or    |       |          | Trans | lation |
| Initial   | ID D   | Number   | Date        | Patent Office | Class | Subclass | Yes   | No     |

| Other Documents (include Author, Title, Date, and Place of Publication) |              |  |  |  |
|---|--------------|--|--|--|
| Examiner<br>Initial   | Desig.<br>ID | Document   |  |  |
|   | AA           | "Static Var Compensator (SVC)", Retrieved from the internet: <a href="http://abb.co/328B2ABF10F394208525683300504BBD">http://abb.co/328B2ABF10F394208525683300504BBD</a> , 2 pages   |  |  |
|   | AB           | "SVC for steel", Retrieved on the internet: <a href="http://www.abb.com/global/ABBZ0C125684D00543B02">http://www.abb.com/global/ABBZ0C125684D00543B02</a> , 1 page   |  |  |
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| :   | AD           | "How can this flicker reduction be achieved?", Retrieved on the internet: <a href="http://www.abb.com/global/ABBZ00440F24">http://www.abb.com/global/ABBZ00440F24</a> , 2 pages  |  |  |
|   | AE           | "SVC Light adds power quality", Retrieved from the internet: <a href="http://www.abb.com/global/ABBZ0044BFB2">http://www.abb.com/global/ABBZ0044BFB2</a> , 1 page  |  |  |
|   | AF           | "New power electronics product from Mitsubishi", Retrieved from the internet: <a href="http://www.strategicnewpapers.com/hr/050100/ekect2.htm">http://www.strategicnewpapers.com/hr/050100/ekect2.htm</a> , 1 page           |  |  |
|   | AG           | "SVC Light for Steel", Retrieved on the internet: <a href="http://www.abb.com/GLOBAL/ABBZ00357895">http://www.abb.com/GLOBAL/ABBZ00357895</a> , 1 page   |  |  |
|   | AH           | "How can this improve your EAF economy?", Retrieved from the internet: <a href="http://www.abb.com/global/ABBZ0044A17D">http://www.abb.com/global/ABBZ0044A17D</a> , 1 page  |  |  |
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|   | AJ           | "Distribution Static Compensator", Retrieved from the internet: <a href="http://www.meppi.com/html/distribution_statcom.htm">http://www.meppi.com/html/distribution_statcom.htm</a> , 2 pages                                |  |  |
|   | AK           | Nelson et al., "Increasing the Capability of Voltage-Limited Distribution Feeders with Distribution Static Condensers", American Power Conference, April 1995, pp. 1524-1529   |  |  |
|   | AL           | Siemens – "Distribution Static Compensator [DSTATCOM™ MV] from Siemens Power Transmission & Distribution pamphlet, 5 pages   |  |  |
|   | AM           | Siemens – "Dynamic reactive power compensation at a steel manufacturing plant", Retrieved from the internet: <a href="http://www.powerquality.de/eng/page29a.html">http://www.powerquality.de/eng/page29a.html</a> , 4 pages |  |  |
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|   | AP           | "Static Synchronous Compensator: an additional tool for improved utilization of power systems", ABB STATCOM Power Systems, Pamphlet A02-0165E, 4 pages   |  |  |

| Examiner Signature   | Date Considered   |
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next communication to applicant.